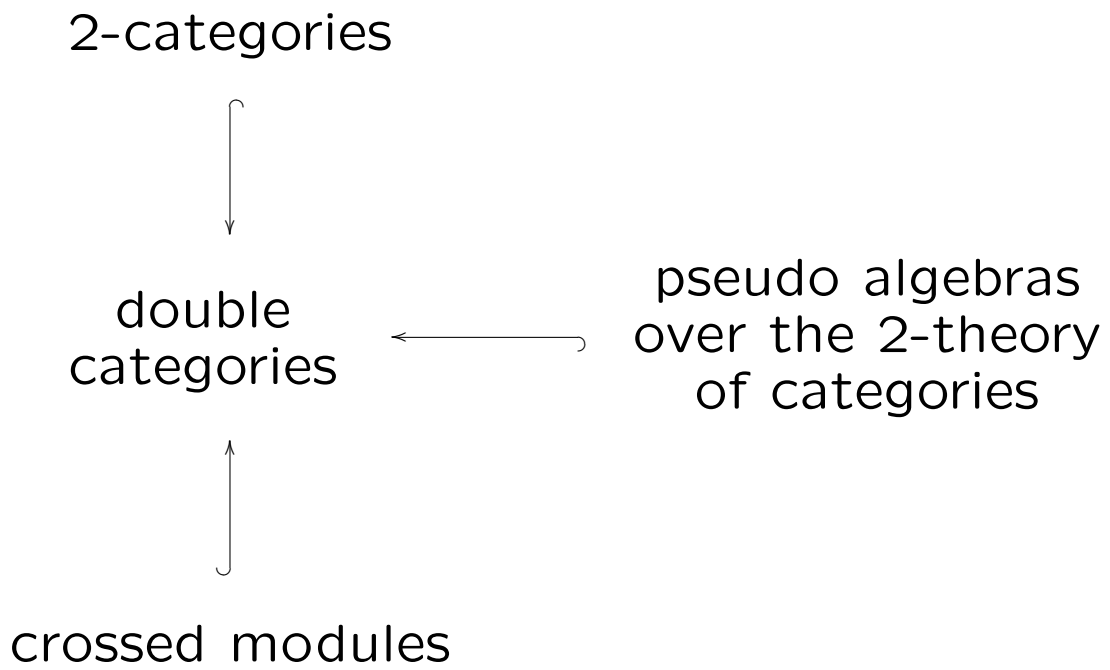


# Double Categories and Pseudo Algebras

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# Overview



# Chronology

1942-1945 Eilenberg-Mac Lane: category theory

1946, 1950 Whitehead-Mac Lane: crossed modules, homotopy 2-types

1963 Ehresmann: double categories

1970's R. Brown: 2-groups, crossed modules, Van Kampen Theorems

1988 Segal Bourbaki talk: a CFT "is" a cocycle for elliptic cohomology

1991 Mac Lane: coherence in CFT

2002-2005 Fiore, Hu, Kriz: pseudo algebras over theories and 2-theories as a rigorous foundation of CFT

## 2-Categories

**Definition 1** A 2-category  $\mathbf{C}$  is a category enriched in categories, i.e.

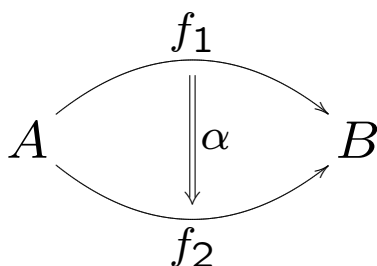
- a set of objects  $\text{Obj } \mathbf{C}$
- for each object  $A$  and  $B$  a category  $\text{Mor}_{\mathbf{C}}(A, B)$
- composition functors

$$\text{Mor}_{\mathbf{C}}(B, C) \times \text{Mor}_{\mathbf{C}}(A, B) \xrightarrow{\circ} \text{Mor}_{\mathbf{C}}(A, C)$$

- identities  $1_A \in \text{Mor}_{\mathbf{C}}(A, A)$

which satisfy the usual axioms for a category.

# Examples



**Example 1** *Any category is a 2-category with discrete morphism categories.*

**Example 2** *Topological spaces, continuous maps, homotopy classes of homotopies.*

**Example 3** *Categories, functors, and natural transformations form the 2-category  $Cat$ .*

**Example 4** *Rings, bimodules, bimodule maps form a bicategory.*

# Double Categories

**Definition 2** (Ehresmann 1963) A double category  $\mathbb{D}$  is an internal category in  $Cat$ .

**Definition 3** A double category  $\mathbb{D}$  consists of  
a set of objects,  
a set of horizontal morphisms,  
a set of vertical morphisms, and  
a class of squares with source and target as follows

$$\begin{array}{ccc} A \xrightarrow{f} B & & A \xrightarrow{f} B \\ & \downarrow j & \downarrow j \quad \alpha \quad \downarrow k \\ & C & C \xrightarrow{g} D \end{array}$$

and compositions and units that satisfy axioms.

# Compositions and Units for Morphisms in a Double Category

Horizontal:

$$A \xrightarrow{f_1} B \xrightarrow{f_2} C = [f_1 \ f_2] = f_2 \circ f_1$$

$$A \xrightarrow{1_A^h} A \xrightarrow{f_1} B = f_1 = A \xrightarrow{f_1} B \xrightarrow{1_B^h} B$$

Vertical:

$$\begin{array}{c} A \\ \downarrow j_1 \\ B \\ \downarrow j_2 \\ C \end{array} = [j_1 \ j_2] = j_2 \circ j_1$$

$$\begin{array}{c} A \\ \downarrow 1_A^v \\ A \\ \downarrow j_1 \\ B \end{array} = \begin{array}{c} A \\ \downarrow j_1 \\ B \end{array} = \begin{array}{c} A \\ \downarrow j_1 \\ B \\ \downarrow 1_B^v \\ B \end{array}$$

# Compositions for Squares in a Double Category

Horizontal:

$$\begin{array}{ccccc}
 A & \xrightarrow{f_1} & B & \xrightarrow{f_2} & C \\
 \downarrow j & & \downarrow k & & \downarrow \ell \\
 D & \xrightarrow{g_1} & E & \xrightarrow{g_2} & F
 \end{array}
 \quad \alpha \quad \beta
 \quad = \quad
 \begin{array}{ccc}
 A & \xrightarrow{[f_1 f_2]} & C \\
 \downarrow j & & \downarrow \ell \\
 D & \xrightarrow{[g_1 g_2]} & F
 \end{array}
 \quad [\alpha \beta]$$

Vertical:

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow j_1 & & \downarrow k_1 \\
 C & \xrightarrow{g} & D \\
 \downarrow j_2 & & \downarrow k_2 \\
 E & \xrightarrow{h} & F
 \end{array}
 \quad \alpha \quad \beta
 \quad = \quad
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow [j_1] & & \downarrow [k_1] \\
 E & \xrightarrow{h} & F
 \end{array}
 \quad [\alpha] \quad [\beta]$$



# Units for Squares in a Double Category

Horizontal:

$$\begin{array}{ccccc}
 A & \xrightarrow{1_A^h} & A & \xrightarrow{f} & B \\
 \downarrow j & & \downarrow j & \alpha & \downarrow k \\
 C & \xrightarrow{1_C^h} & C & \xrightarrow{g} & D
 \end{array}
 =
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow j & \alpha & \downarrow k \\
 C & \xrightarrow{g} & D
 \end{array}
 =
 \begin{array}{ccccc}
 A & \xrightarrow{f} & B & \xrightarrow{1_B^h} & B \\
 \downarrow j & \alpha & \downarrow k & i_k^h & \downarrow k \\
 C & \xrightarrow{g} & D & \xrightarrow{1_D^h} & D
 \end{array}$$

Vertical:

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow 1_A^v & i_f^v & \downarrow 1_B^v \\
 A & \xrightarrow{f} & B \\
 \downarrow j & \alpha & \downarrow k \\
 C & \xrightarrow{g} & D
 \end{array}
 =
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow j & \alpha & \downarrow k \\
 C & \xrightarrow{g} & D
 \end{array}
 =
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow j & \alpha & \downarrow k \\
 C & \xrightarrow{g} & D \\
 \downarrow 1_C^v & i_g^v & \downarrow 1_D^v \\
 C & \xrightarrow{g} & D
 \end{array}$$

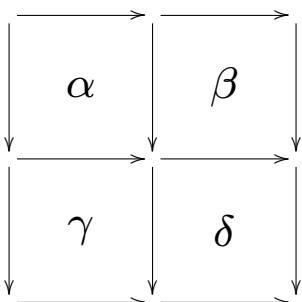
# Axioms for a Double Category

All compositions are *associative* and *unital* (as above) and

$$\begin{bmatrix} i_{j_1}^h \\ i_{j_2}^h \end{bmatrix} = i_{\begin{bmatrix} j_1 \\ j_2 \end{bmatrix}}^h$$

$$\begin{bmatrix} i_{f_1}^v & i_{f_2}^v \end{bmatrix} = i_{[f_1 f_2]}^v.$$

*Interchange Law:*

If  , then  $\begin{bmatrix} [\alpha & \beta] \\ [\gamma & \delta] \end{bmatrix} = \begin{bmatrix} [\alpha] & [\beta] \\ [\gamma] & [\delta] \end{bmatrix}$  and

we write  $\begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix}$ .

# Examples of Double Categories

Let  $I$  be a 1-category.

$\square I :=$  double category of commutative squares in  $I$

$Obj \square I := Obj I$

$Hor \square I := Mor I$

$Ver \square I := Mor I$

$Sq \square I :=$  commutative squares in  $I$

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 j_1 \downarrow & & \downarrow k_1 \\
 C & \xrightarrow{g} & D \\
 j_2 \downarrow & & \downarrow k_2 \\
 E & \xrightarrow{h} & F
 \end{array}
 =
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 j_2 \circ j_1 \downarrow & & \downarrow k_2 \circ k_1 \\
 E & \xrightarrow{h} & F
 \end{array}$$

# Examples of Double Categories

Let  $I$  be a 1-category.

$\square I :=$  double category of not necessarily commutative squares in  $I$

$Obj \square I := Obj I$

$Hor \square I := Mor I$

$Ver \square I := Mor I$

$Sq \square I :=$  not necessarily commutative squares in  $I$

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 j_1 \downarrow & & \downarrow k_1 \\
 C & \xrightarrow{g} & D \\
 j_2 \downarrow & & \downarrow k_2 \\
 E & \xrightarrow{h} & F
 \end{array}
 =
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 j_2 \circ j_1 \downarrow & & \downarrow k_2 \circ k_1 \\
 E & \xrightarrow{h} & F
 \end{array}$$

# Examples of Double Categories

Every 2-category  $\mathbf{C}$  is a double category with trivial vertical morphisms.

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow 1_A^v & \alpha & \downarrow 1_B^v \\
 A & \xrightarrow{g} & B
 \end{array}
 \quad := \quad
 \begin{array}{ccc}
 & f & \\
 A & \curvearrowright & B \\
 & \downarrow \alpha & \\
 & g & \\
 A & \curvearrowleft & B
 \end{array}$$

**Definition 4** *The horizontal 2-category  $\mathbf{H}\mathbb{D}$  of a double category  $\mathbb{D}$  has objects  $Obj\mathbb{D}$ , morphisms  $Hor\mathbb{D}$ , and 2-cells*

$$\begin{array}{ccc}
 & f & \\
 A & \curvearrowright & B \\
 & \downarrow \alpha & \\
 & g & \\
 A & \curvearrowleft & B
 \end{array}
 \quad := \quad
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow 1_A^v & \alpha & \downarrow 1_B^v \\
 A & \xrightarrow{g} & B
 \end{array}
 .$$

# Examples of Double Categories

Let  $\mathbf{C}$  be a 2-category.

$\mathbb{Q}\mathbf{C} :=$  Ehresmann's double category of *quintets* in  $\mathbf{C}$  (1963)

$Obj\ \mathbb{Q}\mathbf{C} := Obj\ \mathbf{C}$

$Hor\ \mathbb{Q}\mathbf{C} := Mor\ \mathbf{C}$

$Ver\ \mathbb{Q}\mathbf{C} := Mor\ \mathbf{C}$

$$Sq\ \mathbb{Q}\mathbf{C} := \left\{ \begin{array}{ccc} A & \xrightarrow{f} & B \\ j \downarrow & \alpha & \downarrow k \\ C & \xrightarrow{g} & D \end{array} \middle| \begin{array}{ccc} & \xrightarrow{k \circ f} & \\ A & \downarrow \alpha & D \\ & \xrightarrow{g \circ j} & \end{array} \right\}$$

**Theorem 1** (*Grandis-Paré 2004*) *The functor  $\mathbb{Q} : 2\text{-Cat} \rightarrow \text{Dbl}$  admits a right adjoint.*

# Examples of Double Categories

$\mathbb{R}ng :=$  pseudo double category of rings, bimodules, and equivariant maps

$Obj \mathbb{R}ng :=$  rings with identity

$Hor \mathbb{R}ng :=$  bimodules

$Ver \mathbb{R}ng :=$  homomorphisms of rings

$Sq \mathbb{R}ng :=$

$$\left\{ \begin{array}{ccc} R & \xrightarrow{M} & S \\ j \downarrow & \alpha & \downarrow k \\ T & \xrightarrow{N} & U \end{array} \middle| \begin{array}{l} \alpha : M \rightarrow N \text{ group homomorphism} \\ \alpha(sm r) = k(s)\alpha(m)j(r) \end{array} \right\}$$

# Examples of Double Categories

Let  $C$  be a topological category, *i.e.*  $Obj C$  and  $Mor C$  are topological spaces.

$\mathbb{P}'C :=$  double category of Moore paths on  $C$ .

$$Obj \mathbb{P}'C := Obj C$$

$$Mor \mathbb{P}'C := Mor C$$

$$Ver \mathbb{P}'C := P'(Obj C) = \text{Moore paths in } Obj C$$

$$Sq \mathbb{P}'C := P'(Mor C) = \text{Moore paths in } Mor C$$

$$P'X := \{(w, s) : s \geq 0, w : [0, s] \rightarrow X\}$$



# Examples of Double Categories

A *worldsheet* is a real, compact, not necessarily connected, two dimensional, smooth manifold with complex structure and real analytically parametrized boundary components.

$\mathbb{W} :=$  pseudo double category of worldsheets

$Obj \mathbb{W} :=$  finite sets

$Hor \mathbb{W}(A, B) :=$  worldsheets with inbound components labelled by  $A$  and outbound components by  $B$

$Ver \mathbb{W} :=$  bijections of finite sets

$Sq \mathbb{W} :=$

$$\left\{ \begin{array}{ccc} A & \xrightarrow{x} & B \\ j \downarrow & \alpha & \downarrow k \\ C & \xrightarrow{y} & D \end{array} \middle| \begin{array}{l} \alpha : x \rightarrow y \text{ holomorphic diffeo.} \\ \alpha \text{ compatible with } j \text{ and } k \\ \alpha \text{ preserves boundary params.} \end{array} \right\}$$

# Folding Structures

We introduce folding structures to compare algebras over the 2-theory of categories with double categories.

**Definition 5** *A holonomy on a double category  $\mathbb{D}$  is a 2-functor*

$$(\mathbf{V}\mathbb{D})_0 \longrightarrow \mathbf{H}\mathbb{D}$$

$$A \longmapsto \bar{A} = A$$

$$\begin{array}{ccc} A & & \\ \downarrow j & \longmapsto & A \xrightarrow{\bar{j}} B \\ B & & \end{array}$$

**Example 5** *For a topological category  $C$ , a holonomy*

$$(\mathbf{V}\mathbf{P}'C)_0 \longrightarrow \mathbf{H}\mathbf{P}'C$$

*assigns to a path of objects a morphism from the initial point to the terminal point, like in differential geometry.*

# Folding Structures

**Definition 6** A folding structure on a double category  $\mathbb{D}$  consists of a holonomy  $j \dashrightarrow \bar{j}$  and bijections

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 j \downarrow & \alpha & \downarrow k \\
 C & \xrightarrow{g} & D
 \end{array}
 \quad \xleftrightarrow{\Lambda} \quad
 \begin{array}{ccc}
 A & \xrightarrow{[f\bar{k}]} & D \\
 1_A^v \downarrow & \Lambda(\alpha) & \downarrow 1_D^v \\
 A & \xrightarrow{[\bar{j}g]} & D
 \end{array}$$

compatible with compositions and units.

A folding structure *horizontalizes* a double category.

# Examples of Folding Structures

Let  $I$  be a 1-category.

$\square I$  = double category of commutative squares in  $I$

$\square\cdot I$  = double category of not necessarily commutative squares in  $I$

Then  $\square I$  and  $\square\cdot I$  each admit a unique folding structure.

$$\begin{array}{ccc}
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 j \downarrow & & \downarrow k \\
 C & \xrightarrow{g} & D
 \end{array} & \xleftrightarrow{\Lambda} & \begin{array}{ccc}
 A & \xrightarrow{k \circ f} & D \\
 1_A^v \downarrow & & \downarrow 1_D^v \\
 A & \xrightarrow{g \circ j} & D
 \end{array}
 \end{array}$$

# Examples of Folding Structures

Let  $\mathbf{C}$  be a 2-category. Then  $\mathbb{Q}\mathbf{C}$  admits a folding structure by definition.

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 j \downarrow & \alpha & \downarrow k \\
 C & \xrightarrow{g} & D
 \end{array}
 =
 \begin{array}{ccc}
 & \xrightarrow{k \circ f} & \\
 A & \Downarrow \alpha & D \\
 & \xrightarrow{g \circ j} & 
 \end{array}
 =
 \begin{array}{ccc}
 A & \xrightarrow{k \circ f} & D \\
 1_A^v \downarrow & \alpha & \downarrow 1_D^v \\
 A & \xrightarrow{g \circ j} & D
 \end{array}$$

# Examples of Folding Structures

$\mathbb{R}ng :=$  pseudo double category of rings, bi-modules, and equivariant maps

$Obj \mathbb{R}ng :=$  rings with identity

$Hor \mathbb{R}ng :=$  bimodules

$Ver \mathbb{R}ng :=$  homomorphisms of rings

$Sq \mathbb{R}ng :=$

$$\left\{ \begin{array}{ccc} R & \xrightarrow{M} & S \\ j \downarrow & \alpha & \downarrow k \\ T & \xrightarrow{N} & U \end{array} \middle| \begin{array}{l} \alpha : M \rightarrow N \text{ group homomorphism} \\ \alpha(sm r) = k(s)\alpha(m)j(r) \end{array} \right\}$$

Holonomy:

$$\bar{j} := T_j = \text{the } (T, R)\text{-module } T$$

Folding:

$$\Lambda(\alpha) : U_k \otimes_S M \Longrightarrow N \otimes_T T_j$$

$$u \otimes m \longmapsto (u \cdot \alpha(m)) \otimes 1_T$$

# Examples of Folding Structures

$\mathbb{W} :=$  pseudo double category of worldsheets

$Obj \mathbb{W} :=$  finite sets

$Hor \mathbb{W}(A, B) :=$  worldsheets with inbound components labelled by  $A$  and outbound components by  $B$

$Ver \mathbb{W} :=$  bijections of finite sets

$Sq \mathbb{W} :=$

$$\left\{ \begin{array}{ccc|l} A & \xrightarrow{x} & B & \alpha : x \rightarrow y \text{ holomorphic diffeo.} \\ j \downarrow & \alpha & \downarrow k & \alpha \text{ compatible with } j \text{ and } k \\ C & \xrightarrow{y} & D & \alpha \text{ preserves boundary params.} \end{array} \right\}$$

Holonomy:

bijection  $\mapsto$  labelled union of infinitely thin annuli

Folding:

relabel  $x$  and  $y$

## Comparison Theorems

**Theorem 2** (*Brown-Mosa 1999, F. 2006*) *The notions of folding structure and connection pair are equivalent.*

**Theorem 3** (*F. 2006*) *The 2-category of strict 2-algebras over the 2-theory of categories is 2-equivalent to the 2-category of double categories with folding structures and invertible vertical morphisms.*

The pseudo version of the theorem also holds.